IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Wellington, et al.

Serial No.: 09/841,636

Filed: April 24, 2001

IN SITU THERMAL PROCESSING For:

> OF A HYDROCARBON CONTAINING FORMATION TO PRODUCE A MIXTURE INCLUDING AMMONIA

DEC 1 8 2001

Examiner: Unknown

Group Art Unit: 1764

Atty. Dkt: 5659-03700

Certificate of Mailing 37 C.F.R. § 1.8(a)

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

It is respectfully requested that this Information Disclosure Statement be entered and zing documents listed on attached Form PTO-1449 (references A1-A256 and B1) be co Examiner and made of record. Copies of the listed documents are enclosed for the convenience Examiner.

Should any fees be required, the Commissioner is authorized to charge said fees to propley.

& Tayon, P.C. Deposit Account No. 50-1505/5659-03700/EBM.

Respectfully submitted,

Eric B. Meyertons Reg. No. 34,876

Attorney for Applicant(s)

CONLEY, ROSE & TAYON, P.C. P.O. BOX 398 AUSTIN, TEXAS 78767-0398 (512) 476-1400 (voice) (512) 703-1250 (facsimile) Date:

ATTY. DKT. NO. 5659-03700/TH1962 DEC 1 8'

APPLICANT: Wellington, et al.

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U.S. PATENT DOCUMENTS

EXAM. NITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A1	760,304	05/1904	Butler			
	A2	1,342,741	06/1920	Day			
	A3	1,510,655	10/1924	Clark			_
-	A4	1,666,488	02/1927	Crawshaw			
	A5	1,913,395	11/1929	Karrick			
	A6	2,423,674	07/1947	Agren	R	ECFI	VED 001
	A7	2,444,755	07/1948	Steffen	DI	C 1 0 c	VEU
	A8	2,466,945	02/1946	Greene		102	001
_	A9	2,472,445	06/1949	Sprong	T	2 170	10
	A10	2,484,063	10/1949	Ackley			
	A11	2,497,868	02/1950	Dalin			
	A12	2,548,360	04/1951	Germain			
	A13	2,593,477	04/1952	Newman et al.			
	A14	2,595,979	05/1952	Pevere et al.			
	A15	2,630,306	01/1952	Evans			
•	A16	2,634,961	04/1953	Ljungstrom			
	A17	2,642,943	06/1953	Smith et al.	F	ILCE	IVED
	A18	2,670,802	03/1954	Ackley		DEC 2	7 2001
•	A19	2,695,163	11/1954	Pearce et al.	G	ROLL	3600
	A20	2,732,195	01-24-56	Ljungstrom		1001	0000
	A21	2,734,579	02-14-56	Elkins			
	A22	2,780,449	02-05-57	Fisher et al.			
	A23	2,777,679	01/1957	Ljungstrom			
	A24	2,780,450	02/1957	Ljungstrom		<u> </u>	
	A25	2,786,660	03/1957	Alleman			
	A26	2,789,805	04/1957	Ljungstrom			
	A27	2,804,149	08/1957	Kile			
	A28	2,841,375	07/1958	Salomonsson			
	A29	2,902,270	09/1959	Salomonsson et al.			
	A30	2,906,337	09/1959	Henning			

EXAMINER:

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ATTY. DKT.

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EXAM. NITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	TELL DATE DATES TO 1
				IVAIVID	OD/188	CLASS	FILING DATE IF APPROPRIATE
	A31	2,914,309	11/1959	Salomonsson			
	A32	2,923,535	02/1960	Ljungstrom			
	A33	2,939,689	06/1960	Ljungstrom		DE	
	A34	2,954,826	10/1960	Sievers		11EC	EIVED
	A35	2,974,937	03/1961	Kiel		DEC	1 9 2001
	A36	2,994,376	08/1961	Crawford et al.		TO	
	A37	2,998,457	08/1961	Paulsen		10	700
	A38	3,004,603	10/1961	Rogers et al.			
	A39	3,007,521	11/1961	Trantham et al.			
	A40	3,095,031	06/1963	Eurenius et al.			
	A41	3,105,545	10/1963	Prats et al.			
	A42	3,106,244	10/1963	Parker			
	A43	3,110,345	11/1963	Reed et al.			
	A44	3,113,623	12/1963	Krueger			
	A45	3,114,417	12/1963	McCarthy		R	ECEIVE
	A46	3,131,763	05/1964	Kunetka et al.	· ·		DEC 2 7 2001
	A47	3,139,928	07/1964	Broussard			
	A48	3,142,336	07/1964	Doscher		(7)	10UP 360
	A49	3,149,672	10/1964	Orkiszewski et al.			
	A50	3,163,745	12/1964	Boston			
	A51	3,164,207	01/1965	Thessen et al.			
	A52	3,182,721	05/1965	Hardy			
	A53	3,183,675	05/1965	Schroeder			
	A54	3,191,679	06/1965	Miller			
	A55	3,205,946	10/1965	Prats et al.			
	A56	3,207,220	10/1965	Williams			
	A57	3,208,531	10/1965	Tamplen			
	A58	3,209,825	10/1965	Alexander et al.			

EXAMINER:

DATE CONSIDERED:

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EXAM.	REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB	FILING DATE IF
INITIALS	DES.					CLASS	APPROPRIATE
	A60	3,237,689	03/1966	Justheim			
		3,241,611	03/1966	Dougan			
	A61	3,250,327	05/1966	Crider			
	A62	3,267,680	08/1966	Schlumberger	•	LEC	2001
	A63	3,284,281	11/1966	Thomas		DEC 1	TIVED
	A64	3,338,306	08/1967	Cook		-	2001
<u> </u>	A65	3,528,501	09/1970	Parker	7	C 13	00
	A66	3,595,082	07/1971	Miller et al.			00
	A67	3,973,628	08/1976	Colgate			
	A68	3,992,148	11/1975	Child			
	A69	3,993,132	11/1977	Garrett			
	A70	4,016,239	04/1977	Fenton			
	A71	4,076,761	02/1978	Chang et al.			
	A72	4,089,372	05/1978	Terry			
	A73	4,093,026	06/1978	Ridley		ECE	IVED
	A74	4,096,163	06/1978	Chang, et al.	 		
	A75	4,130,575	12/1978	Jorn et al.		DEC 2	
· - -	A76	4,133,825	01/1979	Stroud et al.	G	ROU	P 3600
	A77	4,138,442	02/1979	Chang et al.	<u> </u>		
	A78	4,186,801	02/1980	Madgavkar et al.			
	A79	4,250,230	02/1981	Terry			
	A80	4,250,962	02/1981	Madgavkar et al.			
	A81	4,273,188	06/1981	Vogel et al.			-
	A82	4,274,487	06/1981	Hollingsworth et al.			
	A83	4,299,086	11/1981	Madgavkar et al.			
	A84	4,299,285	11/1981	Tsai et al.	 	-	
, <u>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>	A85	4,359,687	11/1981	Vinegar et al.			
	A86	4,363,361	12/1982	Madgavkar et al.	 - 		
	A87	4,366,668	01/1983	·	+ -		
	A88	4,378,048	03/1983	Madgavkar et al. Madgavkar et al.	 - 		

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TRADEMAS U.S.	PATENT	DOCUMENTS
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EXAM.	REF.	DOCUMENT NUMBER			1=- :	T	<u> </u>
EXAM. INITIALS	DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A89	4,381,641	05/1983	Madgavkar et al.			
	A90	4,398,151	08/1983	Vinegar et al.			
	A91	4,407,973	10/1983	van Dijk et al.		De-	
	A92	4,409,090	10/1983	Hanson et al.		UEC	EIVED
	A93	4,444,258	04/1984	Kalmar		DEC 1	LIVED
	A94	4,501,445	02/1985	Gregoli	•		2001
	A95	4,530,401	07/1985	Hartman et al.		C 7;	700
	A96	4,540,882	10/1985	Vinegar et al.			00
	A97	4,542,648	10/1985	Vinegar et al.			
	A98	4,570,715	02/1986	Van Meurs et al.			
	A99	4,571,491	02/1986	Vinegar et al.			
	A100	4,572,299	02/1986	Vanegmond et al.			
	A101	4,583,046	04/1986	Vinegar et al.			
	A102	4,583,242	04/1986	Vinegar et al.			
	A103	4,594,468	06/1986	Minderhoud		TEU	EIVED
	A104	4,597,441	07/1986	Ware et al.		DEC 2	7 2001
	A105	4,605,680	08/1986	Beuther et al.	G	ROH	P 3600
	A106	4,613,754	09/1986	Vinegar et al.		1100	1 3000 -
	A107	4,616,705	10/1986	Stegemeier et al.			
	A108	4,635,197	01/1987	Vinegar et al.			
	A109	4,640,352	02/1987	Vanmeurs et al.			-
	A110	4,644,283	02/1987	Vinegar et al.	1		
	A111	4,658,215	04/1987	Vinegar et al.			
	A112	4,663,711	05/1987	Vinegar et al.			
	A113	4,671,102	06/1987	Vinegar et al.			
	A114	4,716,960	01/1988	Eastlund et al.			
	A115	4,719,423	01/1988	Vinegar et al.			-
	A116	4,728,892	03/1988	Vinegar et al.	 - : 		
	A117	4,730,162	03/1988	Vinegar et al.	1		
	A118	4,743,854	05/1988	Vinegar et al.			

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DEMARK U.S	S. PATENT	DOCUMENTS
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Disclosure S		15 10 500	FILING				
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DV A D C	la en	TRADEMAN	U.S. PATEN				
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLAS	S SUB CLASS	FILING DATE IF APPROPRIATE
	A119	4,762,425	08/1988	Shakkottai et al.	<u> </u>		
	A120	4,769,602	09/1988	Vinegar et al.			
	A121	4,769,606	09/1988	Vinegar et al.		REC	FIVE-
	A122	4,793,656	12/1988	Siddoway et al.		DFC 1	EIVED
	A123	4,827,761	05/1989	Vinegar et al.		1	9 2001
	A124	4,848,924	07/1989	Nuspl et al.		TC 1	700
	A125	4,856,341	08/1989	Vinegar et al.			700
	A126	4,860,544	08/1989	Krieg et al.			
	A127	4,866,983	09/1989	Vinegar et al.			
	A128	4,884,455	12/1989	Vinegar et al.			
	A129	4,886,118	12/1989	Van Meurs et al.			
-	A130	4,927,857	05/1990	McShea III et al.			
	A131	4,974,425	12/1990	Krieg et al.	_		
	A132	4,983,319	01/1991	Gregoli et al.	<u> </u>		
	A133	4,984,594	01/1991	Vinegar et al.	 		
	A134	4,987,368	01/1991	Vinegar		REO	-
	A135	4,994,093	02/1991	Wetzel et al.		1-6	EIVED
	A136	5,014,788	05/1991	Puri et al.	-	DEC 2	7 2001
,,,,	A137	5,046,559	10/1991	Glandt	(ROII	2 2000
	A138	5,050,386	09/1991	Krieg et al.		100,	³⁶⁰⁰
,	A139	5,060,287	10/1991	Van Egmond	 		
·	A140	5,060,726	10/1991	Glandt et al.			
	A141	5,065,818	11/1991	Van Egmond	 	-	
	A142	5,168,927	12/1992	Stegemeier et al.	 -		· · · · · · · · · · · · · · · · · · ·
<u></u>	A143	5,189,283	02/1993	Carl, Jr. et al.		-	
	A144	5,190,405	03/1993				
	A145			Vinegar et al.			
	A146	5,207,273	05/1993	Cates et al.	 		
	A147	5,211,230	05/1993	Ostapovich et al.	 		
	A148	5,226,961	07/1993	Nahm et al.	 -		
	LL	5,229,583	07/1993	van Egmond et al.			

EXAMINER:

DATE CONSIDERED:

ATTY. DKT. NO. 5659-03700/TH1962

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EXAM. NITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A149	5,236,039	08/1993	Edelstein et al.			
	A150	5,255,742	10/1993	Mikus			
	A151	5,297,626	03/1994	Vinegar et al.			
	A152	5,306,640	04/1994	Vinegar et al.		RECI	IVED 2001
	A153	5,318,116	06/1194	Vinegar et al.	-	DEC 1	TVED
	A154	5,339,897	08/1994	Leaute			2001
	A155	5,340,467	08/1994	Gregoli et al.		TC 1	700
	A156	5,349,859	09/1994	Kleppe			00-
	A157	5,388,640	02/1995	Puri et al.			
	A158	5,388,641	02/1995	Yee et al.			
	A159	5,388,642	02/1995	Puri et al.			
	A160	5,388,643	02/1995	Yee et al.			
	A161	5,388,645	02/1995	Puri et al.			
	A162	5,391,291	02/1995	Winquist et al.			
	A163	5,392,854	02/1995	Vinegar et al.			
	A164	5,404,952	04/1995	Vinegar et al.		REC	EIVED
	A165	5,409,071	04/1995	Wellington et al.		DEC	2 7 2001
	A166	5,411,089	05/1995	Vinegar et al.		POI	JP 3600
	A167	5,415,231	05/1995	Northrop et al.	1	uno	01 3000
	A168	5,431,224	07/1995	Laali			
	A169	5,433,271	07/1995	Vinegar et al.			
	A170	5,437,506	08/1995	Gray			
	A171	5,439,054	08/1995	Chaback et al.			
	A172	5,454,666	10/1995	Chaback et al.			
	A173	5,497,087	03/1996	Vinegar et al.			
	A174	5,498,960	03/1996	Vinegar et al.			
	A175	5,525,322	06/1996	Willms			
	A176	5,553,189	09/1996	Stegemeier et al.			
	A177	5,554,453	09/1996	Steinfeld et al.		-	
	A178	5,566,756	10/1996	Chaback et al.			

EXAMINER:

DATE CONSIDERED:

ATTY. DKT. NO. 5659-03700/TH1942

APPLICANT: Wellington, et al.

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GROUP: 1764

(Use several		necessary)	FILING	DATE: April 24, 2001			
•		TRADE	MES. PATENT	DOCUMENTS			
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A179	5,624,188	04/1997	West			
	A180	5,656,239	08/1997	Stegemeier et al.		0-	
	A181	5,676,212	10/1997	Kuckes		MEC	FILE
	A182	5,862,858	01/1999	Wellington et al.		DEC 1	
	A183	5,899,269	05/1999	Wellington et al.		-	2001
	A184					11 : 4	

	A181	5,676,212	10/1997	Kuckes	PECEIVE
	A182	5,862,858	01/1999	Wellington et al.	DEC
	A183	5,899,269	05/1999	Wellington et al.	2001
	A184	5,968,349	10/1999	Duyvesteyn et al.	C 1700
	A185	5,984,010	11/1999	Elias et al.	DEC 1 700
	A186	5,985,138	11/1999	Humphreys	
	A187	5,997,214	12/1999	de Rouffignac et al.	
	A188	6,016,867	01/2000	Gregoli et al.	
	A189	6,016,868	01/2000	Gregoli et al.	
	A190	6,019,172	02/2000	Wellington et al.	
	A191	6,023,554	02/2000	Vinegar et al.	
	A192	6,056,057	05/2000	Vinegar et al.	RECEIVED
	A193	6,079,499	06/2000	Mikus et al.	
	A194	6,085,512	07/2000	Agee et al.	DE¢ 2-7 2001
	A195	6,094,048	07/2000	Vinegar et al.	GROUP 3600
	A196	6,102,122	08/2000	de Rouffignac	
	A197	6,102,622	08/2000	Vinegar et al.	
	A198	6,152,987	11/2000	Ma et al.	
	A199	6,172,124	01/2001	Wolflick et al.	
	A200	6,173,775 B1	01/2001	Elias et al.	
	A201	6,187,465	02/2001	Galloway	
	A202	Re. 30,738	09/1981	Bridges et al.	
	A203	Re. 35,696	12/1997	Mikus	
		I I	OREIGN PATE	NT DOCUMENTS	
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUB TRANSLAT CLASS ON YES/NO
	A204	121,737	03/1948	Sweden	
	A205	123,136	11/1948	Sweden	

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLAT ON YES/NO
	A204	121,737	03/1948	Sweden			
	A205	123,136	11/1948	Sweden			

EXAMINER:

DATE CONSIDERED:

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		DOCUMENT NUMBER	ORMGN PATEN	T DOCUMENTS		
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUB CLASS	TRANSLAT ON YES/NO
	A206	123,137	11/1948	Sweden		
	A207	123,138	11/1948	Sweden		
	A208	126,674	11/1949	Sweden		
	A209	1,196,594	11/1985	CA	Dra	
	A210	1,253,555	05/1989	CA	TEC!	EVED
	A211	1,288,043	08/1991	CA	DEC 1 S	2004
	A212	156,396	01/1921	GB	70	2001
	A213	674,082	06/1952	GB	101	700
	A214	697,189	09/1953	GB		
· -	A215	1,454,324	11/1976	GB		
	A216	1,501,310	02/1978	GB		
	A217	2,086,416	05/1982	GB		
	A218	1836876	12/1994	SU		
	A219	0570228 B1	09/1996	EP		
	A220	99/01640	01/1999	WO		1
	A221	95/06093	03/1995	WO	MECE	11/1-
<u>.</u>	A222	95/12746	05/1995	WO	RECE DEC 2 7 GROUP	VED
	A223	95/33122	12/1995	WO	GROUP	2007
	A224	95/12742	05/1995	WO	THU YOUR	3600
	A225	95/12743	05/1995	WO		400
	A226	95/12744	05/1995	WO		
	A227	95/12745	05/1995	WO		
		OTHER ART (I	ncluding Author, I	Title, Date, Pertinent Page	s, Etc.)	,
	<u> </u>	Some Effects of Pressure on Opp. 287-292.				
	A229	New in situ shale-oil recovery	process uses hot nat	ural gas; The Oil & Gas Jou	ırnal; May 16, 1966, p.	151.
		Evaluation of Downhole Electr Society 37 th Annual Petroleum Inc., Bosch et al., September 19	and Chemical Indu			
		New System Stops Paraffin Bu		ngineer, Eastlund et al., Jan	nuary 1989, (3 pages).	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent own

Campbell et al. In Situ 2(1), 1978, pp. 1-47.

A232 Oil Shale Retorting: Effects of Particle Size and Heating Rate on Oil Evolution and Intraparticle Oil Degradation;



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OTHER Tincluding Author, Title, Date, Pertinent Pages, Etc.)

	OTHER AND Including Author, Title, Date, Pertinent Pages, Etc.)
A233	If he Potential For in Situ Retorting of Oil Shale in the Piceance Creek Basin of Northwestern Colorade, Lougan ev
	Quarterly of the Colorado School of Mines, pp. 57-72.
A234	Retoring Oil Shale Underground-Problems & Possibilities; B.F. Grant, Qtly of Colorado School of Milles 20039-46
A235	Molecular Mechanism of Oil Shale Pyrolysis in Nitrogen and Hydrogen Atmospheres, Hersal witz it
	Geochemistry and Chemistry of Oil Shales, American Chemical Society, 5/1983 pp. 301-316.
A236	The Characteristics of a Low Temperature in Situ Shale Oil; George Richard Hill & Paul Dougan, Quarterly of the
	Colorado School of Mines, 1967; pp. 75-90.
A237	Direct Production Of A Low Pour Point High Gravity Shale Oil; Hill et al., I & EC Product Research and
ļ	Development, 6(1), March 1967; pp. 52-59.
A238	Refining Of Swedish Shale Oil, L. Lundquist, pp. 621-627.
A239	The Benefits of In Situ Upgrading Reactions to the Integrated Operations of the Orinoco Heavy-Oil Fields and
	Downstream Facilities, Myron Kuhlman, Society of Petroleum Engineers, June 2000; pp. 1-14.
A240	
A241	The Shale Oil Question, Old and New Viewpoints, A Lecture in the Engineering Science Academy, Dr. Fredrik
	Ljungstrom, February 23, 1950, published in Teknisk Trdskrift, January 1951 p. 33-40.
A242	Underground Shale Oil Pyrolysis According to the Ljungstroem Method; Svenska Skifferolje Aktiebolaget (Swedisl
	Shale Oil Corp.), IVA, Vol. 24, 1953, No. 3, pp. 118-123.
A243	Kinetics of Low-Temperature Pyrolysis of Oil Shale by the IITRI RF Process, Sresty et al.; 15th Oil Shale Symposius
[,5	Colorado School of Mines, April 1982 pp. 1-13.
A244	Bureau of Mines Oil-Shale Research, H.M. Thorne, Quarterly of the Colorado School of Mines, pp. 77-90.
	
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EXAMINER:

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